

EU REQUIREMENTS FOR ADDITIONAL DECLARATIONS ON PHYTOSANITARY CERTIFICATES

The European Union requires that Phytosanitary Certificates be issued for plants, plant products and other regulated articles imported into the EU, to indicate that they are free from the pests specified in Annexes I and II of the EC Plant Health Directive 2000/29/EC¹. Moreover they must meet the EU phytosanitary import requirements specified in Annex IV Part A Section I and Part B of that Directive. This is in accordance with the FAO/IPPC international standard "Guidelines for Phytosanitary Certificates" (International Standard for Phytosanitary Measures - ISPM No.12)

Since 1 January 2005 an "additional declaration" is also required in certain cases where the exporting country has applied one of the special requirements listed as equivalent alternatives in the relevant part of Annex IV.

1. Name and address of exporter		2. PHYTOSANITARY CERTIFICATE No. / /	
3. Detailed name and address of consignee		4. Plant protection organization or to plant protection representative of	
6. Detailed means of conveyance		5. Place of origin	
7. Detailed point of entry			
8. Description in detail, number and description of packages, name of product, botanical name of plants		9. Quantity declared	
<p>10. This is to certify that the plants or plant products described above:</p> <ul style="list-style-type: none"> — have been inspected according to appropriate procedures, and — are considered to be free from quarantine pests, and specifically free from other regulated pests, and — are considered to conform with the relevant phytosanitary requirements of the importing country. 			
11. Additional declaration			
12. Inspections and/or disinfection treatment		13. Place of issue	
14. Issued on		Date	
15. Issued by (signature)		Name and address of substantiator	
16. Issued by (signature)		State of origin	
17. Additional information			

LEGAL BASIS

ISPM No.12 defines an additional declaration as "a statement that is required by an importing country to be entered on a phytosanitary certificate and which provides specific additional information pertinent to the phytosanitary condition of a consignment".

Directive 2000/29/EC [Article 13a(4)(b)] stipulates that "... the certificates, in the case of plants, plant products or other objects listed in Annex IV Part A section I or Part B, shall specify, under the heading "Additional Declaration" and where relevant, which special requirement out of those listed as alternatives in the relevant position in the different parts of Annex IV have been complied with. This specification shall be given through reference to the relevant position in Annex IV."

Directive 2000/29/EC [Article 13.1] also states that plants, plant products or other objects, coming from a third country and listed in its Annex IV shall "**comply** with the relevant special requirements indicated in that Annex, or, where applicable, **with the option declared in the certificate pursuant to Article 13a(4)(b)...**".

- ◆ **ADDITIONAL DECLARATIONS ARE ALSO REQUIRED REGARDING EMERGENCY PHYTOSANITARY MEASURES OR DEROGATIONS UNDER DIFFERENT COMMISSION DECISIONS. THESE DECLARATIONS SHOULD BE COMPLETED IN ACCORDANCE WITH PROVISIONS LAID DOWN IN THESE DECISIONS.**
- ◆ **DETAILS ON REQUIRED PHYTOSANITARY TREATMENTS, WHERE APPLICABLE AND/OR OPTED FOR, SHALL BE PROVIDED IN THE APPROPRIATE BOXES OF THE PHYTOSANITARY CERTIFICATE.**
- ◆ **AREA FREEDOM, WHERE APPLICABLE AND/OR OPTED FOR, SHALL BE DECLARED IN THE APPROPRIATE BOX (PLACE OF ORIGIN) OF THE PHYTOSANITARY CERTIFICATE.**

For further information:

See the Health and Consumer Protection Directorate-General Website:
http://europa.eu.int/comm/dgs/health_consumer/index_en.htm

EURLex - the European Union Law official website:
<http://europa.eu.int/eur-lex/lex/en/index.htm>

The official website of the International Plant Protection Convention:
<https://www.ippc.int/IPP/En/default.jsp>

ILLUSTRATIVE EXAMPLES (EC Plant Health Directive 2000/29/EC: Annexes IV.A.I and IV.B)

A. NO additional declaration required

- **A1. Only a single requirement is indicated in the right hand column** of Annex IV.

Annex IV.A.I.

35.1. Plants of <i>Beta vulgaris</i> L. intended for planting, other than seeds	Official statement that no symptoms of Beet curly top virus (non-European isolates) have been observed at the place of production since the beginning of the last complete cycle of vegetation.
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No additional declaration is required as the Phytosanitary Certificate itself is considered to confirm that such a requirement is met.

- **A2. Only a single requirement for an area freedom confirmation is indicated in the right hand column** of Annex IV.

Annex IV.A.I

53. Seeds of the genera <i>Triticum</i> , <i>Secale</i> and <i>X Triticosecale</i> from Afghanistan, India, Iran, Iraq, Mexico, Nepal, Pakistan, South Africa and the USA where <i>Tilletia indica</i> Mitra is known to occur.	Official statement that the seeds originate in an area where <i>Tilletia indica</i> Mitra is known not to occur. The name of the area shall be mentioned on the phytosanitary certificate provided for in Article 13.1(ii).
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No additional declaration is required, **BUT the respective area(s) shall be declared in the "PLACE OF ORIGIN" box of the Phytosanitary Certificate².**

B. Additional declaration IS required

- **B1. Clearly separate alternatives are indicated in the right-hand column** of Annex IV.

Annex IV.A.I

52. Seeds of <i>Zea mays</i> L.	<p>Official statement that:</p> <p>(a) the seeds originate in areas known to be free from <i>Erwinia stewartii</i> (Smith) Dye;</p> <p style="text-align: center;">OR</p> <p>(b) a representative sample of the seeds has been tested and found free from <i>Erwinia stewartii</i> (Smith) Dye in this test.</p>
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If alternative (b) has been opted for, the correct reference in the additional declaration would be:

"Consignment complies with Annex IV.A.I, point 52. option (b), of EC Plant Health Directive 2000/29/EC."

- **B2. Clearly separate alternatives are indicated in both the right-hand and the left-hand column** of Annex IV.

Annex IV.A.I.

31. Plants of <i>Pelargonium</i> L'Herit. ex Ait., intended for planting, other than seeds, originating in countries where Tomato ringspot virus is known to occur:	Without prejudice to the requirements applicable to the plants listed in Annex IV(A)(I)(27.1 and) (27.2),
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<p>(a) where <i>Xiphinema americanum</i> Cobb <i>sensu lato</i> (non-European populations) or other vectors of Tomato ringspot virus are not known to occur</p>	<p>official statement that the plants:</p> <p>(a) are directly derived from places of production known to be free from Tomato ringspot virus;</p> <p>OR</p> <p>(b) are of no more than fourth generation stock, derived from mother plants found to be free from Tomato ringspot virus under an official approved system of virological testing.</p>
<p>(b) where <i>Xiphinema americanum</i> Cobb <i>sensu lato</i> (non-European populations) or other vectors of Tomato ringspot virus are known to occur</p>	<p>official statement that the plants:</p> <p>(a) are directly derived from places of production known to be free from Tomato ringspot virus in the soil or plants;</p> <p>OR</p> <p>(b) are of no more than second generation stock, derived from mother plants found to be free from Tomato ringspot virus under an officially approved system of virological testing.</p>

If a country, where Tomato ringspot virus is known to occur and where *Xiphinema americanum* Cobb *sensu lato* (non-European populations) or other vectors of Tomato ringspot virus are not known to occur, has opted for alternative (b) in the right-hand column, the correct reference in the additional declaration³ would be:

"Consignment complies with Annex IV.A.I, point 31.(a) option (b), of EC Plant Health Directive 2000/29/EC."

THE MAJORITY OF REQUIREMENTS, COMPRISING ALTERNATIVES IN ANNEX IV (PARTS A.I AND IV.B) OF THE DIRECTIVE 2000/29/EC, ARE STRUCTURED AS DESCRIBED IN THE ABOVE EXAMPLES, THUS ENABLING EXPORTING THIRD COUNTRIES TO MAKE A SIMPLE REFERENCE TO THE SELECTED ALTERNATIVE. HOWEVER, SOME REQUIREMENTS IN THAT ANNEX HAVE BEEN STRUCTURED OTHERWISE:

- **B3. Alternative requirements in the right-hand column of Annex IV are not listed under paragraphs separated by letters a), b), c)…, but only under unnumbered indents within one paragraph.**

Annex IV.A.I

<p>32.2. Cut flowers of <i>Dendranthema</i> (DC) Des. Moul., <i>Dianthus</i> L., <i>Gypsophila</i> L. and <i>Solidago</i> L., and leafy vegetables of <i>Apium graveolens</i> L. and <i>Ocimum</i> L.</p>	<p>Official statement that the cut flowers and the leafy vegetables:</p> <ul style="list-style-type: none"> - originate in a country free from <i>Liriomyza sativae</i> (Blanchard) and <i>Amauromyza maculosa</i> (Malloch), <p>OR</p> <ul style="list-style-type: none"> - immediately prior to their export, have been officially inspected and found free from <i>Liriomyza sativae</i> (Blanchard) and <i>Amauromyza maculosa</i> (Malloch)
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If a country is not free from the relevant harmful organisms, the correct reference in the additional declaration³ would be:

"Consignment complies with Annex IV.A.I, point 32.2 second indent, of EC Plant Health Directive 2000/29/EC."

➤ **B4. Clearly indicated treatment options are mentioned in the right hand column for wood originating in countries mentioned in the left hand column.**

Annex IV.A.I

<p>1.6 Whether or not listed among the CN-Codes in Annex V, Part B, wood of conifers (Coniferales), other than in the form of:</p> <ul style="list-style-type: none"> - chips, particles, sawdust, shavings, wood waste and scrap obtained in whole or part from these conifers, - wood packaging material, in the form of packing cases, boxes, crates, drums and similar packings, pallets, box pallets and other load boards, pallet collars, actually in use in the transport of objects of all kinds, - wood used to wedge or support non-wood cargo, <p>but including that which has not kept its natural round surface, originating in third countries, other than:</p> <ul style="list-style-type: none"> - Russia, Kazakhstan and Turkey, - European countries, - Canada, China, Japan, the Republic of Korea, Mexico, Taiwan and the USA, where <i>Bursaphelenchus xylophilus</i> (Steiner et Bühner) Nickle <i>et al.</i> is known to occur. 	<p>Official statement that the wood:</p> <p>(a) is bark-free and free from grub holes, caused by the genus <i>Monochamus</i> spp. (non-European), defined for this purpose as those which are larger than 3 mm across,</p> <p>OR</p> <p>(b) has undergone kiln-drying to below 20% moisture content, expressed as a percentage of dry matter, achieved through an appropriate time/temperature schedule. There shall be evidence thereof by a mark «kiln-dried» or «K.D.» or another internationally recognized mark, put on the wood or on any wrapping in accordance with current usage,</p> <p>OR</p> <p>(c) has undergone an appropriate fumigation to a specification approved in accordance with the procedure laid down in Article 18.2. There shall be evidence thereof by indicating on the certificates referred to in Article 13.1.(ii), the active ingredient, the minimum wood temperature, the rate (g/m³) and the exposure time (h),</p> <p>OR</p> <p>(d) has undergone an appropriate chemical pressure impregnation with a product approved in accordance with the procedure laid down in Article 18.2. There shall be evidence thereof by indicating on the certificates referred to in Article 13.1.(ii), the active ingredient, the pressure (psi or kPa) and the concentration (%),</p> <p>OR</p> <p>(e) has undergone an appropriate heat treatment to achieve a minimum core temperature of 56°C for at least 30 minutes. There shall be evidence thereof by a mark "HT" put on the wood or on any wrapping in accordance with current usage, and on the certificates referred to in Article 13.1(ii).</p>
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If a country issuing the phytosanitary certificate for sawn wood of conifers, which originates in a country other than one mentioned in the list at the end of the left-hand column, opts for kiln-drying as per option (b) – the correct reference in the additional declaration³ would be:

"Consignment complies with Annex IV.A.I, point 1.6. option (b) of EC Plant Health Directive 2000/29/EC."

➤ **B5. A phytosanitary treatment has been opted for.**

Annex IV.A.I

<p>36.1. Plants, intended for planting, other than:</p> <ul style="list-style-type: none"> - bulbs, - corms, - rhizomes, - seeds, - tubers, <p>originating in third countries</p>	<p>Without prejudice to the requirements applicable to the plants in Annex IV, Part A, Section I(27.1), (27.2), (28), (29), (31), (32.1) and (32.3), official statement that the plants have been grown in nurseries and:</p> <p>(a) originate in an area, established in the country of export by the national plant protection service in that country, as being free from <i>Thrips palmi</i> Karny in accordance with relevant International Standards for Phytosanitary Measures, and which is mentioned on the certificates referred to in Article 13. 1(ii) of this Directive under the rubric «Additional declaration»,</p> <p>OR</p>
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	<p>(b) originate in a place of production, established in the country of export by the national plant protection service in that country, as being free from <i>Thrips palmi</i> Karny in accordance with relevant International Standards for Phytosanitary Measures, and which is mentioned on the certificates referred to in Article 13. 1(ii) of this Directive under the rubric «Additional declaration», and declared free from <i>Thrips palmi</i> Karny on official inspections carried out at least monthly during the three months prior to export,</p> <p>OR</p>
	<p>(c) immediately prior to export, have been subjected to an appropriate treatment against <i>Thrips palmi</i> Karny and have been officially inspected and found free from <i>Thrips palmi</i> Karny. Details of the treatment shall be mentioned on the certificates referred to in Article 13. 1(ii) of this Directive</p>

If alternative (c) has been opted for, the correct reference in the additional declaration would be:

“Consignment complies with Annex IV.A.I, point 36.1, option (c), of EC Plant Health Directive 2000/29/EC.” AND details of the treatment shall be provided in the relevant boxes “DISINFESTATION AND/OR DISINFECTION TREATMENT” of the Phytosanitary Certificate.

➤ **B6. A confirmation of an area freedom has been opted for.**

Annex IV.A.I

<p>11.2. Plants of <i>Castanea</i> Mill. and <i>Quercus</i> L., intended for planting, other than seeds</p>	<p>Without prejudice to the provisions applicable to the plants listed in Annex III(A)(2) and IV(A)(I)(11.1), official statement that:</p> <p>(a) the plants originate in areas known to be free from <i>Cryphonectria parasitica</i> (Murrill) Barr;</p> <p>OR</p> <p>(b) no symptoms of <i>Cryphonectria parasitica</i> (Murrill) Barr have been observed at the place of production or its immediate vicinity since the beginning of the last complete cycle of vegetation.</p>
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If alternative (a) has been opted for, the correct reference in the additional declaration³ would be:

“Consignment complies with Annex IV.A.I, point 11.2, option (a) of EC Plant Health Directive 2000/29/EC.” AND the respective pest free area(s) shall be declared in the “PLACE OF ORIGIN” box of the Phytosanitary Certificate².

AS PLANTS, PLANT PRODUCTS AND OTHER OBJECTS (REGULATED ARTICLES) UNDER EC PLANT HEALTH DIRECTIVE 2000/29/EC MAY BE SUBJECTED TO MULTIPLE REQUIREMENTS, AN ADDITIONAL DECLARATION SHALL PROVIDE A REFERENCE TO ALL RELEVANT ALTERNATIVES THAT HAVE BEEN OPTED FOR:

➤ **B7. A regulated article complying with multiple requirements, e.g. alfalfa seed that complies with the provisions of points 49.1 and 49.2 of Annex IV.A.I, where equivalent alternatives are provided in points 49.1 and 49.2(b).**

Annex IV.A.I

<p>49.1. Seeds of <i>Medicago sativa</i> L.</p>	<p>Official statement that:</p> <p>(a) no symptoms of <i>Ditylenchus dipsaci</i> (Kühn) Filipjev have been observed at the place of production since the beginning of the last complete cycle of vegetation and no <i>Ditylenchus dipsaci</i> (Kühn) Filipjev has been revealed by laboratory tests on a representative sample;</p> <p>OR</p> <p>(b) fumigation has taken place prior to export.</p>
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<p>49.2. Seeds of <i>Medicago sativa</i> L., originating in countries where <i>Clavibacter michiganensis</i> ssp. <i>insidiosus</i> Davis <i>et al.</i> is known to occur</p>	<p>Without prejudice to the requirements applicable to plants listed in Annex IV(A)(I)(49.1), official statement that:</p> <p>(a) <i>Clavibacter michiganensis</i> ssp. <i>insidiosus</i> Davis <i>et al.</i> has not been known to occur on the farm or in the immediate vicinity since the beginning of the past 10 years;</p> <p>(b) either</p> <ul style="list-style-type: none"> - the crop belongs to a variety recognised as being highly resistant to <i>Clavibacter michiganensis</i> ssp. <i>insidiosus</i> Davis <i>et al.</i>, <p style="text-align: center;">OR</p> <ul style="list-style-type: none"> - it had not yet started its fourth complete cycle of vegetation from sowing when the seed was harvested and there was not more than one preceding seed harvest from the crop, <p style="text-align: center;">OR</p> <ul style="list-style-type: none"> - the content of inert matter which has been determined in accordance with the rules applicable for the certification of seed marketed in the Community, does not exceed 0,1% by weight; <p>(c) no symptoms of <i>Clavibacter michiganensis</i> ssp. <i>insidiosus</i> Davis <i>et al.</i> have been observed at the place of production, or on any <i>Medicago sativa</i> L. crop adjacent to it, during the last complete cycle of vegetation or, where appropriate, the last two cycles of vegetation;</p> <p>(d) the crop has been grown on land on which no previous <i>Medicago sativa</i> L. crop has been present during the last three years prior to sowing.</p>
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If alternative 49.1(a) and the third indent from alternatives under 49.2(b) have been opted for, the correct reference in the additional declaration would be:

"Consignment complies with Annex IV.A.I, points 49.1. option (a) and 49.2. option (b) third indent, of EC Plant Health Directive 2000/29/EC."

THE ABOVE ILLUSTRATIVE EXAMPLES SHALL APPLY BY ANALOGY TO THE PROVISIONS OF ANNEX IV.B FOR REGULATED ARTICLES DESTINED TO THE RELEVANT PROTECTED ZONES IN THE COMMUNITY.

PLEASE NOTE: THIS DOCUMENT IS NOT LEGALLY BINDING, IT IS MEANT PURELY FOR INFORMATION PURPOSES

¹ Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1), as amended

² ISPM no.12: "Guidelines for Phytosanitary Certificates"; par.2.1

³ **Without prejudice** to the additional declarations to be made under **ALL** other **RELEVANT** multiple requirements of Annex IV(A)(I) **and/or** IV (B) [e.g. in case of example B2 also to points 27.1, 27.2, 32.1, 32.3, 36.1, 45.1, 46. of Annex IV(A)(I)]